# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10675872 Filing Date 2003-09-30 First Named Inventor DeWitt, Jr. et al. Art Unit 2191 Examiner Name Vo, Ted T. Attorney Docket Number AUS920030487US1

			Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5657253		1997-08-12	Dreyer et al.	
	2	5991708		1999-11-23	Levine et al.	
	3	5564015		1996-10-08	Bunnell	
	4	5450349		1995-09-12	Brown et al.	
	5	5987598		1999-11-16	Levine et al.	
	6	6009514		1999-12-18	Henzinger et al.	
	7	6112317		2000-08-29	Berc et al.	
	8	5896538		1999-04-20	Blandy et al.	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10675872		
Filing Date		2003-09-30		
First Named Inventor DeWit		tt, Jr. et al.		
Art Unit		2191		
Examiner Name Vo, To		ed T.		
Attorney Docket Number		AUS920030487US1		

	9	5355487		1994-10	)-11	Keller et al.					
	10	6948032	B2	2005-09	)-20	Kadambi et al.					
If you wish to add additional U.S. Patent citation			n inform	ation pl	on please click the Add button.			Add			
			U.S.P	ATENT	APPLI	CATION PUBI	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relev Figures Appear			
	1										
If you wis	h to ac	dd additional U.S. Publi	shed Ap	plication	ı citatio	n information p	please click the Ade	d buttor	. Add		
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	-		Publication Date	Applicant of cited		where Rel	or Relevant	T5
	1										
If you wis	h to ac	dd additional Foreign Pa	atent Do	cument	citation	information pl	lease click the Add	button	Add		
			NON	I-PATE	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*										T5	
	1	"CPU Cache", Wikipedia, pp. 1-14 retrieved 11/1/06 http://en.wikipedia.org/wiki/CPU_cache									
	2	AMMONS et al., "Exploiting Hardware Performance Counters with Flow and Context Sensitive Profiling", 1997, ACM, pp. 85-96.									

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10675872		
Filing Date		2003-09-30		
First Named Inventor	DeWit	tt, Jr. et al.		
Art Unit		2191		
Examiner Name	Vo, Te	ed T.		
Attorney Docket Number	er	AUS920030487US1		

If you wish to add additional non-patent literature document citation information please click the Add button Add							
EXAMINER SIGNATURE							
Examiner Signature		Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Standard ST.3). <sup>3</sup> For Japa	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office anese patent documents, the indication of the year of the reign of the Empappropriate symbols as indicated on the document under WIPO Standard in is attached.	eror must precede the ser	rial number of the patent document.				

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10675872		
Filing Date		2003-09-30		
First Named Inventor	DeWi	tt, Jr. et al.		
Art Unit		2191		
Examiner Name	Vo, T	ed T.		
Attorney Docket Number		AUS920030487US1		

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):										
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OF	OR									
×	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).									
	See attached ce	rtification statement.								
×	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith								
	None									
		SIGNAT								
	ignature of the ap n of the signature.	plicant or representative is required in accord	lance with CFR 1.33, 10.1	8. Please see CFR 1.4(d) for the						
Sigi	nature	/Gerald H. Glanzman/	Date (YYYY-MM-DD)	2006-12-15						
Nar	Name/Print Gerald H. Glanzman Registration Number 25035									
pub 1.14	lic which is to file of the fi	rmation is required by 37 CFR 1.97 and 1.98. (and by the USPTO to process) an application is estimated to take 1 hour to complete, include USPTO. Time will vary depending upon the	n. Confidentiality is govern ding gathering, preparing a	ned by 35 U.S.C. 122 and 37 CFR and submitting the completed						

require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

**CERTIFICATION STATEMENT** 

VA 22313-1450.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.